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**Government of Rajasthan
Planning (PMU) Department**

Sub.: Revised procedures for preparation and posing of Preliminary Project Report (PPR) in respect of AFD, JICA, GIZ, KFW and all other Bilateral Agencies for externally aided projects-regarding.

With regard to the subject cited above, please find enclosed herewith a copy of No. 1/17/2018- G&F dated 11th March, 2019 addressed to Chief Secretary, GoR and received from Under Secretary, Government of India, Ministry of Finance, Department of Economic Affairs, New Delhi for information and further necessary action.

Encl. As above.


(Abhimanyu Kumar)
Director, PMU

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- Addl. Chief Secretary, PWD
- Addl. Chief Secretary, Industries
- Addl. Chief Secretary, Agriculture
- Addl. Chief Secretary, Rural Development
- Addl. Chief Secretary, Medical and Health
- Principal Secretary, Revenue
- Principal Secretary, School Education
- Principal Secretary, MSME
- Principal Secretary, PHED
- Principal Secretary, Forest
- Principal Secretary, Tourism
- Principal Secretary, Energy
- Principal Secretary, Revenue
- Principal Secretary, UDH
- Secretary, Transport
- Secretary, WRD
- Secretary, LSG
- Commissioner and Special Secretary, IT&C

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U.O. Note. No. F.65(353)Plan/PMU/2011
Jaipur dated: 19-03-2019

Pr. Suppl. 11/3

No. 1/17/2018-G&F

Government of India/ भारत सरकार

Ministry of Finance/ वित्त मंत्रालय

Department of Economic Affairs/ आर्थिक कार्य विभाग

Germany & France Section / जर्मनी & फ्रांस अनुभाग

कार्यालय प्रमुख हासन सचिव
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दिनांक ... 11.3.19

कार्यालय

जयपुर
372114/CS/19

North Block, New Delhi
Dated the 11th March 2019

दिनांक : 1 Mar 2019

To

1. All Chief Secretaries of States and Union Territories
2. Line Ministries concerned

Subject: Revised procedures for preparation and posing of Preliminary Project Report (PPR) in respect of AFD, JICA, GIZ, KFW and all other Bilateral Agencies for externally aided projects -regarding.

Madam/ Sir,

The undersigned is directed to inform that as per the revised procedure for considering project proposals received from state government/ central ministries/ departments and other Project Implementing Agencies for externally aided projects from bilateral and MDB agencies, the proposals are placed before the Screening Committee of DEA which is held on a monthly basis for examination, recommendation/rejection of such proposals.

2. The procedure for submitting PPR has also been made online ([online link https://eapdea.gov.in/ppr](https://eapdea.gov.in/ppr)). It has been decided that only those proposals which have been submitted online will be considered by the Screening Committee. Therefore, all Central Ministries/ Departments, State Governments and other Project Implementing Agencies are requested to submit their PPR through the online mode only. Hard copy of the PPR will be accepted only after the PPR has been submitted online.

3. While submitting the PPR in the online portal the following should be ensured:

1). The PPR should be filled properly, **counterpart funding** as per DEA Circular No. 04/01/2011-FB-II dated 1st December 2011 (copy enclosed) must be clearly indicated and the PPR should have the **approval of the competent authority.**

2). The PPR must be addressed/ marked to all the Line Ministries concerned. For central sector project, the PPR should be marked to **NITI Aayog** also. For North Eastern (NE) and J&K states the PPR should be referred/ marked to MEA and MHA

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including DoNER is case of NE projects. The hard copy of the PPR should be submitted through the concerned line ministry.

4. The detailed instructions for registration and submission of PPR through the online portal are enclosed for reference.

5. This issues with approval of the competent authority.

Encl: a/a

Vyomesh
11/03/2019

(Dr Vyomesh Pant)

Under Secretary to the Govt. of India

Tel: 2309-5125

Email: vyomesh.pant38@nic.in

Copy to:

Website of DEA, MoF

No. 04/01/2011-FB-II
Government of India
Ministry of Finance
Department of Economic Affairs
MI Division

New Delhi, the 1st December, 2011

Circular

Subject: Minimum Counterpart funding for World Bank and ADB Assisted projects

1. Projects funded by the World Bank and the ADB usually have the following funding pattern:

- (a) External Assistance component
- (b) Counterpart fund by Governments / PSUs (in case of Central PSUs)
- (c) Funding by others (e.g. ULBs, Gram Panchayats, Public contribution etc.)


2. The policy for counterpart funding of projects assisted by the World Bank (IBRD/IDA) and the ADB has been considered in the Department of Economic Affairs. It has been decided that the minimum counterpart funding to be provided by the Governments/PSUs (in case of Central PSUs) for World Bank and ADB funded projects will be as follows:

- (i) Central Sector Project - 50% of project size
- (ii) State Sector Projects - 30% of project size
- (iii) State Sector Projects (Special Category States) - 20% of project size

3. For infrastructure sector projects which have their own revenue streams, the external assistance component will be further tailored to encourage co-financing and innovative financing.

4. For projects seeking to address disaster situations, the counterpart funding will be determined on case-to-case basis.

5. The External Assistance Component of State Projects will be passed on to the States as per the existing policy.


(NilayaMitash)
Director (MI)
Tel. No. 2309 2387

1. All Union Line Ministries (Secretaries to the Departments/Ministries, Joint Secretaries Coordination) All State Governments (Chief Secretaries; Finance Secretaries)
2. PS to FM, Sr. PPS to Secretary (EA), PPS to SS (EA)
3. PS to Deputy Chairman, Planning Commission
4. Secretary, D/o Expenditure
5. All Joint Secretaries in Department of Economic Affairs
6. All Directors/Deputy Secretaries/Under Secretaries/Section Officers in MI Division.
7. O/o ED, World Bank, Washington DC, USA
8. O/o ED, ADB, Manila, Philippines
9. World Bank/ADB/IFAD offices, New Delhi
10. NIC, North Block (with the request to adequately place this circular on the Ministry of Finance website).


(NilayaMitash)
Director (MI)

F. No. 07/02/2018/FB-II
Government of India
Ministry of Finance
Department of Economic Affairs
(Fund Bank & ADB Division)

North Block, New Delhi
Dated October 25, 2018

OFFICE MEMORANDUM

Subject: Launch of DEA's Web Portal for submitting the Preliminary Project Report (PPR) to be considered by the Fund Bank and ADB Division for seeking external assistance from MDBs from November 1, 2018 -reg.

The undersigned is directed to refer to OM of even number dated October 10, 2018 (copy enclosed) informing that the submission of the Preliminary Project Report (PPR) to be considered by the Fund Bank and ADB Division for seeking external assistance from MDBs would be made online through DEA's Web Portal from **November 01, 2018**. In this regard, DEA has held two presentations on July 23, 2018 and October 23, 2018 for familiarization with the working of the web portal (copies enclosed).

2. It may be noted that DEA would continue to accept PPR offline (through post/email) for seeking external assistance from MDBs during the period **November 1, 2018 to December 31, 2018**. However, all such proposals would be processed only when the PPR is also submitted online on DEA's web portal for the same.
3. PPR Proposals submitted w.e.f. January 1, 2019 will be accepted only through DEA's web portal.
4. DEA's web-portal for online submission of the PPR can be accessed at the following link:
<https://eapdea.gov.in/ppr>
5. It is requested that registration of nodal authorities [Additional Chief Secretary/ Principal Secretary (Finance Department)] may kindly be done/confirmed by contacting NIC [Mr Sanjeev Mathur, Technical Director, NIC; Email: sanjeevm@nic.in; Phone: 011-23095136]. Registration of other state government officials may also be done once the Nodal Authority from the state is registered. It is requested to kindly contact the aforementioned official from NIC in case of any issues/queries regarding the web-portal.

(Encl: As above)


(Bandana Preyashi)
Director (WB)

E-Mail: bandana.preyashi@gov.in
Tel No: 23092345

To:
All Chief Secretaries of State Governments as per list attached.

DEA's Web-Portal for seeking external assistance from MDBs

USER MANUAL

DEA's web-portal for seeking external assistance from MDBs can be accessed at the following link
<https://eapdea.gov.in/ppr/>

1. Timeline:

- i. The Web Portal for online submission of the Preliminary Project Report (PPR), to be considered by the Fund Bank and ADB Division, for seeking external assistance from MDBs will be launched from **November 1, 2018**.
- ii. DEA would continue to accept PPR offline (through post/email) for seeking external assistance from MDBs during the period **November 1, 2018 to December 31, 2018**. However, all such proposals would be processed only when the PPR is also submitted online through DEA's web portal for the same.
- iii. PPR Proposals submitted w.e.f. **January 1, 2019** will be accepted only through DEA's web portal

2. User Registration:

i. Registration of Nodal Authority:

- i. **Central Ministries/Departments:** Registration of one Nodal Authority for each Central Ministry/Department (of the rank of JS and above) must first be done/confirmed by contacting NIC [Mr Sanjeev Mathur, Technical Director, NIC; Email: sanjeevm@nic.in; Phone: 011-23095136].
- ii. **State Governments:** Registration of one Nodal Authority for each State Government (of the rank of ACS/Principal Secretary Finance) must first be done/confirmed by contacting NIC [Mr Sanjeev Mathur, Technical Director, NIC; Email: sanjeevm@nic.in; Phone: 011-23095136].

ii. Registration of other users:

- i. Registration of other officials from Central Ministries/Departments and State Governments may be done through the web-portal. Registration details of other users, once submitted on the web-portal, will be forwarded to the Nodal Authority of the Central Ministry/Department or State Government, as applicable, for verification. The verification may be done by clicking on "User Creation" -> "User Verification" -> "Activate"
- ii. The account of the concerned official will be activated upon confirmation by the Nodal authority.
- iii. Created accounts may be disabled by the Nodal authority, if needed, by clicking on "User Creation" -> "User Verification" -> "Disable"

3. Filling and Submission of PPR:

- i. The PPR can be first drafted by a user (other than the nodal authority) on the web-portal by signing-in and clicking "PPR Details" -> "Create PPR". The PPR can be saved at each stage if needed. Upon completion of the PPR form, the user may submit the PPR form.
- ii. Submitted PPRs will get reflected in the account of the concerned nodal authority of the originating Central Ministry/Department or State Government, as the case may be.

4. **Verification of PPR by Nodal Authorities and Marking to Line Ministries/Departments:**
 - i. PPRs submitted by other users will appear in the account of the concerned nodal authority.
 - ii. The nodal authority may choose to edit/delete the PPR, if needed.
 - iii. The nodal authority will be responsible for marking the PPR to concerned Line Ministry(ies) and/or NITI Aayog for concurrence by clicking on **"PPR Details"** -> **"PPR Mark"** > **"Select PPR"** and selecting all applicable Ministries/Departments (some default Ministries/Departments may already be selected depending on whether the project is a Central/State (Special Category/Other project).
5. **Comments/Concurrence of Line Ministries/Departments**
 - i. PPRs submitted and marked to Line Ministry(ies)/Department(s) by the Nodal authority of the originating entity will be reflected in the account of the Nodal authority of the concerned to Line Ministry(ies)/Department(s).
 - ii. Comments on individual PPRs can be recorded by clicking on **"Comments"** -> **"Record Comments"**.
6. **Responding to comments and sending reminders:**
 - i. The Nodal authority of the originating entity (Central/State) will be able to view/reply to comments on submitted PPRs by clicking **"Comments"** and then choosing the relevant option.
 - ii. In case of any clarifications sought on the submitted PPR, originating entities (Nodal authority) will be able to reply through the web-portal itself by clicking **"Record Comments/Reply"**
 - iii. In case of delay in receiving concurrence/comments of Line Ministries/Departments, originating entities (Nodal authority) will be able to send reminders by clicking on **"Comments"** -> **"View Comments"** -> **"Send Reminder"**
7. **Submission of PPR to DEA:**
 - i. Once comments/concurrence of other Line Ministries/Departments are received, the PPR may be marked to DEA by the Nodal authority of the originating entity by clicking **"PPR Details"** -> **"Send to DEA"** and following the instructions displayed.
8. **Consideration of PPR by DEA**
 - i. Once completed PPRs, with comments/concurrence of Line Ministries/Departments are received, by DEA, DEA will take up the proposal in its Screening Committee Meeting (usually held monthly)
 - ii. Meeting Notices for the Screening Committee Meeting to discuss the submitted PPR will be uploaded on the web-portal and can be viewed by clicking on **"Meeting Details"** -> **"View Meeting"**
 - iii. Subsequently, Minutes of the Screening Committee meeting will be uploaded on the web-portal and can be viewed by clicking on **"Meeting Details"** -> **"View MOM"**

Technical Queries/Difficulties: If any, may be resolved by contacting NIC [Mr Sanjeev Mathur, Technical Director, NIC; Email: sanjeevm@nic.in; Phone: 011-23095136]
